

# Register of Relevant Duties & Interests

31 December 2025

## Definitions for the purposes of this register:

A **Relevant duty** is defined as any duty owed by the RSE licensee, or a responsible person of the RSE licensee, to beneficiaries or to any other person that the RSE Licensee considers relevant because it might reasonably have the potential to significantly impact on the RSE Licensee acting in the members' best interests.

**Relevant interest** is an interest of the RSE, an associate of the RSE Licensee or a Responsible Person, that the RSE Licensee considers relevant because it might reasonably have the potential to significantly impact on the RSE Licensee acting in the members' best interests.



## Responsible Persons – Register of Relevant Duties and Interests

Responsible Person	Duties to Diversa as RSE Licensee	Other Relevant Duties	Relevant Interests Description
<b>Vincent Plant</b>	<ul style="list-style-type: none"> <li>Director (Chair) and Responsible Person</li> <li>Member (Chair) of the Investment Committee</li> <li>Member of the Remuneration and Nominations Committee</li> <li>Member of the Audit and Compliance Committee.</li> </ul>	<ul style="list-style-type: none"> <li>Director (Chair) and Responsible Person of CCSL Limited (CCSL)</li> <li>Member (Chair) of the CCSL Investment Committee</li> <li>Member of the CCSL Remuneration and Nominations Committee</li> <li>Member of the CCSL Audit and Compliance Committee.</li> </ul>	<ul style="list-style-type: none"> <li>Director fees</li> </ul>
<b>Fiona McNabb</b>	<ul style="list-style-type: none"> <li>Director and Responsible Person</li> <li>Member (Chair) of the Audit and Compliance Committee</li> <li>Member of the Investment Committee.</li> </ul>	<ul style="list-style-type: none"> <li>Director and Responsible Person of CCSL</li> <li>Member (Chair) of the CCSL Limited Audit and Compliance Committee</li> <li>Member of CCSL the Investment Committee.</li> </ul>	<ul style="list-style-type: none"> <li>Director fees</li> </ul>
<b>Sue Thomas</b>	<ul style="list-style-type: none"> <li>Director and Responsible Person</li> <li>Member (Chair) of Remuneration and Nominations Committee</li> <li>Member of the Risk and MBI Committee.</li> </ul>	<ul style="list-style-type: none"> <li>Director and Responsible Person of CCSL</li> <li>Member (Chair) of CCSL Remuneration and Nominations Committee</li> <li>Member of the CCSL Risk and MBI Committee.</li> <li>Director (Chair) of Raritan River Holdings Limited</li> <li>Director of Diversa Holdco Pty Ltd.</li> </ul>	<ul style="list-style-type: none"> <li>Director fees</li> </ul>
		<ul style="list-style-type: none"> <li>Director (Chair) of Fitzroy River Holdings Limited.</li> </ul>	<ul style="list-style-type: none"> <li>N/A</li> </ul>



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<b>Mark Walter</b>	<ul style="list-style-type: none"> <li>Director and Responsible Person</li> <li>Member (Chair) of Risk and MBI Committee</li> <li>Member of Audit and Compliance Committee</li> <li>Member of the Remuneration and Nominations Committee.</li> </ul>	<ul style="list-style-type: none"> <li>Director and Responsible Person of CCSL</li> <li>Member (Chair) of the CCSL Risk and MBI Committee</li> <li>Member of the CCSL Audit and Compliance Committee.</li> <li>Member of the CCSL Remuneration and Nominations Committee.</li> </ul>	<ul style="list-style-type: none"> <li>Director Fees</li> </ul>
		<ul style="list-style-type: none"> <li>Mark Walter Lawyers Pty Ltd/as Walter Grant Legal.</li> </ul>	<ul style="list-style-type: none"> <li>Legal Services</li> <li>Director fees</li> </ul>
<b>Andrew Peterson</b>	<ul style="list-style-type: none"> <li>Director and Responsible Person</li> <li>Chief Executive Officer</li> <li>Member of the Investment Committee</li> <li>Member of the Risk and MBI Committee.</li> </ul>	<ul style="list-style-type: none"> <li>Director, Executive and Responsible Person of CCSL</li> <li>Member of the CCSL Limited Investment Committee.</li> <li>Member of the CCSL Risk and MBI Committee</li> <li>Director of Diversa Holdco Pty Ltd.</li> </ul>	<ul style="list-style-type: none"> <li>Salary</li> </ul>
<b>Rachel Griffith</b>	<ul style="list-style-type: none"> <li>Responsible Person – Senior Manager</li> <li>Company Secretary</li> <li>Member of the Investment Committee</li> </ul>	<ul style="list-style-type: none"> <li>Responsible Person of CCSL</li> <li>Company Secretary of CCSL</li> <li>Member of the CCSL Investment Committee.</li> <li>Company Secretary of Diversa Holdco Pty Ltd</li> <li>Company Secretary of Raritan River Holdings Pty Ltd</li> </ul>	<ul style="list-style-type: none"> <li>Salary</li> </ul>



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<b>Josh Haymes</b>	<ul style="list-style-type: none"> <li>Responsible Person – Senior Manager</li> <li>AFSL Responsible Manager</li> </ul>	<ul style="list-style-type: none"> <li>Responsible Person – Senior Manager</li> <li>AFSL Responsible Manager of CCSL Limited</li> </ul>	<ul style="list-style-type: none"> <li>Salary</li> <li>Shareholder (small holding) of Praemium Limited, a promotor and asset consultant for a Diversa Trustees Fund</li> </ul>
<b>Kelsey Johnson</b>	<ul style="list-style-type: none"> <li>Responsible Person - RSE Auditor</li> </ul>	<ul style="list-style-type: none"> <li>Partner of Grant Thornton</li> </ul>	<ul style="list-style-type: none"> <li>Audit fees paid to GT</li> </ul>
<b>Adam Kuziow</b>	<ul style="list-style-type: none"> <li>Responsible Person - RSE Auditor</li> </ul>	<ul style="list-style-type: none"> <li>Partner of Deloitte</li> </ul>	<ul style="list-style-type: none"> <li>Audit fees paid to Deloitte</li> </ul>
<b>James Dixon</b>	<ul style="list-style-type: none"> <li>Responsible Person - RSE Auditor</li> </ul>	<ul style="list-style-type: none"> <li>Partner of BDO Audit</li> </ul>	<ul style="list-style-type: none"> <li>Audit fees paid to BDO</li> </ul>
<b>Matina Moffitt</b>	<ul style="list-style-type: none"> <li>Responsible Person – RSE Auditor</li> </ul>	<ul style="list-style-type: none"> <li>Partner of BDO Audit</li> </ul>	<ul style="list-style-type: none"> <li>Audit fees paid to BDO</li> </ul>
<b>Anna Donoghoe</b>	<ul style="list-style-type: none"> <li>Responsible Person - RSE Auditor</li> </ul>	<ul style="list-style-type: none"> <li>Partner at PwC</li> </ul>	<ul style="list-style-type: none"> <li>Audit fees paid to PWC</li> </ul>
<b>Chris Wooden</b>	<ul style="list-style-type: none"> <li>Responsible Person – RSE Auditor</li> </ul>	<ul style="list-style-type: none"> <li>Partner of KPMG</li> </ul>	<ul style="list-style-type: none"> <li>Audit fees paid to KPMG</li> </ul>
<b>Maree Pallisco</b>	<ul style="list-style-type: none"> <li>Responsible Person – RSE Auditor</li> </ul>	<ul style="list-style-type: none"> <li>Partner of EY</li> </ul>	<ul style="list-style-type: none"> <li>Audit fees paid to EY</li> </ul>
<b>Somaiya Ahmed</b>	<ul style="list-style-type: none"> <li>Responsible Person – Auditor Office of New South Wales (AONSW)</li> </ul>	<ul style="list-style-type: none"> <li>Director of Financial Audit - Audit Office of New South Wales</li> </ul>	<ul style="list-style-type: none"> <li>Audit fees to the AONSW</li> </ul>
<b>Vimbai Mhlanga</b>	<ul style="list-style-type: none"> <li>Responsible Person – RSE Auditor</li> </ul>	<ul style="list-style-type: none"> <li>Partner of Deloitte</li> </ul>	<ul style="list-style-type: none"> <li>Audit fees paid to Deloitte by the AONSW</li> </ul>
<b>Tim Jenkins</b>	<ul style="list-style-type: none"> <li>Responsible Person -</li> <li>RSE Actuary</li> </ul>	<ul style="list-style-type: none"> <li>Partner at Mercer Consulting</li> </ul>	<ul style="list-style-type: none"> <li>Actuarial fees paid to Mercer Consulting</li> </ul>
<b>Mark Nelson</b>	<ul style="list-style-type: none"> <li>Responsible Person -</li> <li>RSE Actuary</li> </ul>	<ul style="list-style-type: none"> <li>Principal at Mercer Consulting</li> </ul>	<ul style="list-style-type: none"> <li>Actuarial fees paid to Mercer Consulting</li> </ul>



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<b>Saffron Sweeney FIAA</b>	<ul style="list-style-type: none"> <li>Responsible Person -</li> <li>RSE Actuary</li> </ul>	<ul style="list-style-type: none"> <li>Partner &amp; Senior Actuary – Head of Wealth Solutions, Pacific; Chief Actuary Wealth Solutions APAC at Aon</li> </ul>	<ul style="list-style-type: none"> <li>Actuarial fees to Aon</li> </ul>

### Corporate Entities with relevant Duties to Diversa or to whom Diversa has relevant duties

Entity Name	Activities/Functions performed for Diversa as RSE Licensee	Related Party Activities	Interest
<b>Raritan River Holdings Pty Ltd.</b>	Parent Company incorporated in Australia	100% ownership of Diversa Trustees Limited (via Diversa Holdings Pty Ltd).	Holds 100% interest
<b>Promotors and Sponsors of Diversa Funds</b>	Obligations to Diversa under promoter/sponsor agreements.	<p>Potential interests arising from promoter/sponsor relationships with Diversa Funds.</p> <p>Promotors and Sponsors may also provide investment management, asset consulting, administration and/or custodian services to Diversa Funds.</p>	Promotor, Sponsor and Investment Management/consulting/Custodian Fees.

Information as at 31 December 2025

